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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Stephen LeGraw Examiner: Timothy M. Harbeck
Serial No.: 09/754,492 Group Art Unit: 3628
Filed: January 4, 2001 Docket No.: S144.101.102
Title: INFORMATION TRADING SYSTEM AND METHOD

CERTIFICATE OF TRANSMISSION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the following paper(s) are being facsimile transmitted to the U.S. Patent and Trademark Office, Fax. No. (571) 273-8300 on the date shown below:

Transmittal Sheet containing Certificate of Mailing (1 pg.)

Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences (2 pgs.)

Date: December 5, 2006

JMS: cms

By: 
Name: JoAnn M. Seaton (Reg. No. 55,605)

4 Pages (including cover page)

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Commissioner for Patents
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Sir/Madam:

We are transmitting herewith the attached:

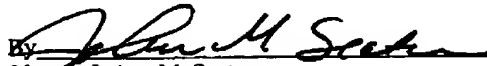
- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences 37 C.F.R. §1.191 (2 pgs.).
- ☒ The Commissioner for Patents is hereby authorized to charge the amount of \$500.00 to Deposit Account No. 500471 to cover fees associated with filing of the Notice of Appeal.

Customer No. 025281
DICKE, BILLIG & CZAJA, PLLC
Fifth Street Towers, Suite 2250
100 South Fifth Street
Minneapolis, MN 55402
Telephone: (612) 573-0668
Facsimile: (612) 573-2005

Respectfully submitted,


JoAnn M. Seaton
Reg. No. 55,605

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (571) 273-8300 on this 5th day of December, 2006.

By 
Name: JoAnn M. Seaton

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Stephen LeGraw Examiner: Timothy M. Harbeck
Serial No.: 09/754,492 Group Art Unit: 3628
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**NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD
OF PATENT APPEALS AND INTERFERENCES**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision of the Examiner mailed September 5, 2006, rejecting claims 61-120. The fee for this Notice of Appeal under 37 C.F.R. §41.20(b) is \$500.00.

The proceedings herein are for a patent application and the provisions of 37 C.F.R. §1.136(a) apply.

Applicant believes that no Extension of Time is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a Petition and fee for Extension of Time.

Please charge Deposit Account No. 50-0471 in the amount of \$500.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-0471 pursuant to 37 C.F.R. 1.25.

The Examiner is invited to contact the Applicant's representative at the below-listed telephone numbers to facilitate prosecution of this application.

12/06/2006 TL0111 00000055 500471 09754492
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Any inquiry regarding this Amendment and Response should be directed to Steven E. Dicke at Telephone No. (612) 573-2002, Facsimile No. (612) 573-2005. In addition, all correspondence should continue to be directed to the following address:

Dicke, Billig & Czaja, PLLC
Fifth Street Towers, Suite 2250
100 South Fifth Street
Minneapolis, MN 55402

Respectfully submitted,

G. Stephen LeGraw,

By their attorneys,

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Telephone: (612) 573-2002
Facsimile: (612) 573-2005

Date: December 5, 2006
JMS:cms


JoAnn M. Seaton
Reg. No. 55,605

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being transmitted via facsimile to Facsimile No. (571) 273-8300 on this 5th day of December, 2006.

By 
Name: JoAnn M. Seaton